



## Competency Framework for the Information, Advice and Assistance (IAA) Workforce

## Section 5: The assessment and care and support planning process

There is some indicative mapping against the roles of 'information, advice and assistance'. Each local authority and/or agency should however, make a judgement against the roles and functions of their workers.

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
1. Understand	Knowledge and understanding about:					
the process and requirements for assessment and care and support planning	1.1 Legislation, national and local policy and guidance related to assessing the needs of individuals and carers					Signature:
		X	X	X		Date:
	1.2 How the national assessment and eligibility tool is used for assessments			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	<b>Evidence</b>	Signature and date
	1.3 The reasons for the 'National Minimum Core Data Set' and how this should be used			X		Signature:  Date:
	1.4 The importance of the five elements of assessment			X		Signature:  Date:
	1.5 <b>Key elements</b> of an assessment of need <b>Key elements:</b> co-production, strengths-based approach, outcome focused			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	1.6 The <b>primary focus</b> of assessment <b>Primary focus:</b> building on an individual's strengths and assets, including their abilities and families/communities			X		Signature:  Date:
	1.7 What is meant by 'outcomes orientated' assessment			X		Signature:  Date:
	1.7 What is meant by 'outcomes orientated' assessment			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	1.9 Requirements for providing and reviewing care and support plans			X		Signature:
	1.10 Dringinles of formest and content					Date:
	1.10 Principles of, format and content requirements of care and support plans			X		Signature:  Date:
	1.11 How judgement is made about eligible care and support needs					Signature:
				X		Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	1.12 Legislation related to 'ordinary residence' and the impact this has on the care and support that individuals are able to access			X		Signature:  Date:
	1.13 The aims of legislation and policy for the use of direct payments for care and support			X		Signature:  Date:
	1.14 Requirements for the use of direct payments			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	1.15 The range of services and delivery methods for which direct payments may be used			X		Signature:  Date:
	1.16 Potential difficulties and conflicts related to the use of direct payments					Signature:
	and how these may be addressed			X		Date:
	1.17 Support available for the use of direct payments	X	X	X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	1.18 Charging arrangements for eligible care and support in local area					Signature:
		X	X	X		Date:
	1.19 Responsibilities of local authorities for assessment of adults and children in the secure estate					Signature:
				X		Date:
	1.20 When an integrated/specialist assessment may be required and who may be involved in this					Signature:
				X		Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
2. How to have	Knowledge and understanding about:					
meaningful conversations with individuals and their families/carers about what matters to them and the support they need	2.1 The range of communication methods and approaches that can be used	X	X	X		Signature:  Date:
	2.2 Factors that need to be considered for effective communication	X	X	X		Signature:  Date:
	2.3 How previous experiences, disability/conditions and first language may influence an individual's willingness to communicate	X	X	X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	2.4 Approaches that can be used for implementing the 'Active Offer'	X	X	X		Signature:  Date:
	2.5 How to recognise when behaviour is being used as a form of communication	X	X	X		Signature:  Date:
	2.6 Sources of information, advice and support for communication	X	X	X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	Practice You are able to work in ways that:					
	<ul> <li>2.7 Use and adapt methods of communication that:</li> <li>support individuals and/or carers to express what is important to them</li> <li>are age and ability appropriate</li> </ul>	X	X	X		Signature:  Date:
	2.8 Address factors that may present barriers to communication and participation in the assessment process	X	X	X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	<ul> <li>2.9 Ensure communication is conducted in ways that:</li> <li>recognises confidentiality</li> <li>demonstrates respect for individuals and/or carers</li> <li>sensitively explores the views, wishes, preferences and needs of individuals and/or carers</li> <li>does not stigmatise or reinforce negative perceptions</li> </ul>	X	X	X		Signature:  Date:
3. Support the	Knowledge and understanding about:					
assessment process according to own role and responsibilities, legislation and Codes of Practice	3.1 Ways of working to build positive, supportive relationships with individuals and their families/carers, including finding out about their daily lives, history, culture, preferences, wishes and need			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	<b>Evidence</b>	Signature and date
	3.2 Potential barriers to accessing care and support and how to overcome these  Potential barriers: individual, physical, emotional			X		Signature:  Date:
	3.3 Reasons why individuals and/or carers may mask their actual care and support needs and how to explore these with sensitivity			X		Signature:  Date:
	3.4 How views, wishes and preferences may change as individuals go through the assessment and planning process			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	3.5 The importance of using co-productive approaches for assessment that are: • person/child centred • rights based • strengths based			X		Signature:  Date:
	3.6 The importance of involving families/carers in the assessment process			X		Signature:  Date:
	<ul> <li>3.7 The challenges and sensitivities that may occur between carers and individuals related to:</li> <li>family dynamics</li> <li>power imbalance</li> <li>differences of opinion</li> <li>positive risk taking versus risk aversion</li> <li>complexities in meeting the needs of both the individual and the carer</li> </ul>			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	<b>Evidence</b>	Signature and date
	3.8 Strategies that can be used to manage challenges and sensitivities			X		Signature:  Date:
	3.9 The importance of ensuring a non-judgemental approach towards the personal circumstances, of individuals, their families/carers and the way that they lead their lives	X	X	X		Signature:  Date:
	3.10 How mental capacity and best interest decisions relate to the assessment process			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	3.11 How to establish individuals' views, wishes and preferences where they do not have verbal communication or mental capacity			X		Signature:  Date:
	3.12 What is meant by 'advance' planning and when and why this should be considered as part of the assessment process			X		Signature:  Date:
	3.13 How to establish eligible and ineligible needs when undertaking assessments			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	3.14 How to identify sources of information and support to meet identified needs, including electronic assistive technology	X	X	X		Signature:  Date:
	3.15 Potential <b>funding options</b> for care and support <b>Funding options:</b> financial assessments, local authority charging arrangements, self-funding, direct payments, continuing health care, nursing element of healthcare in care home services	X	X	X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	<b>Evidence</b>	Signature and date
	Practice You are able to work in ways that:					
	3.16 Establish own role and that of others in the assessment process			X		Signature:  Date:
	3.17 Undertake and record assessments in accordance with organisational and legislative requirements and associated Codes of Practice, identifying needs that are: • eligible • ineligible			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	3.18 Build positive, supportive relationships with individuals and their families/carers			X		Signature:  Date:
	<ul> <li>3.19 Use rights and strengths based approaches for undertaking assessments that support individuals and/or carers to:</li> <li>have voice and control over decisions about their care and support and the way that their service is designed and delivered</li> <li>recognises the potential impact of transitions they may be experiencing</li> <li>identify the outcomes they want to achieve and how they can best be helped to do this</li> <li>identify and recognise their assets, strengths and skills including community networks, friends and family</li> <li>develop and maintain skills which support their active participation in activities, experiences and daily tasks that promote independence</li> </ul>			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	<ul> <li>explore the use of electronic assistive technology that may contribute to achievement of identified outcomes</li> <li>balance their rights, responsibilities and risks</li> <li>maintain existing and develop new relationships</li> </ul>			X		
	3.20 Use strategies to manage conflicts of interest, differences of opinion and dilemmas that may arise between individuals, families/carers and others about risks and outcomes that individuals want to achieve			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	3.21 Use strategies to manage challenges and sensitivities that may occur between the carer and the individual			X		Signature:  Date:
	3.22 Provide information to individuals and/or carers on funding options for their care and support			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
4. Develop care and support plans based on assessment and agreed outcomes	Practice You are able to work in ways that:					
	<ul> <li>4.1 Use assessments in co-production with individuals and/or carers to develop strengths-based, outcome focused care and support plans that identify:</li> <li>support from families, friends, networks and communities</li> <li>potential risks and how these may be managed</li> </ul>			X		Signature:  Date:
	4.2 Ensure the plans include an element of flexibility to support positive outcomes			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	4.3 Ensure the plans are recorded and made available in accessible formats for people and/or carers			X		Signature:  Date:
	4.4 Ensure that individuals and their families/carers are satisfied with the content of the care and support plan			X		Signature:  Date:
	4.5 Agree actions, roles, responsibilities and timeframes for completing these with individuals and their families/carers			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	4.6 Support individuals and/or families/carers to understand how the plan will be implemented			X		Signature:  Date:
	4.7 Agree how the plan will be monitored, reviewed and adapted to meet changing needs			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
5. Undertake reviews of care	Knowledge and understanding about:					
and support plans according to own role and responsibilities, legislation and Codes of Practice	5.1 The legislative requirements for the reviews of care and support plans			X		Signature:  Date:
	5.2 The process that should be followed for the review of care and support plans			X		Signature:  Date:
	5.3 Methods and approaches that can be used to support individuals to evaluate the achievement of identified outcomes in the care and support plan			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	<b>Evidence</b>	Signature and date
	Practice You are able to work in ways that:					
	5.4 Establish own role and that of others in the review process			X		Signature:  Date:
	5.5 Use co-productive approaches to complete reviews of care and support plans according to organisational and legislative requirements, Codes of Practice and own role and responsibilities			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	5.6 Support individuals and/or carers to evaluate the achievement of identified outcomes from their care and support plans			X		Signature:  Date:
	5.7 Explore any changes to individuals and/or carers views, wishes, preferences and needs and agree how these may best be met			X		Signature:  Date:
	5.8 Seek feedback from service providers on the achievement of outcomes and any identified changes			X		Signature:  Date:

Learning outcome	Training, learning, development and practice standards	Information	Information and advice	Information, advice and assistance	Evidence	Signature and date
	<ul> <li>5.9 Ensure that:</li> <li>the reviewed plans are recorded and made available in accessible formats for individuals and/or carers</li> <li>individuals and/or carers are happy with any changes made</li> </ul>			X		Signature:  Date:
	5.10 Ensure that revised plans are shared appropriately with service providers according to agreed protocols for confidentiality			X		Signature:  Date:

Teaching resources for section 5 - set of power point slides which can be delivered as a whole, or split up for standalone delivery for each learning outcome or specific criteria for knowledge and understanding

I confirm that					
Signed and dated: (manager)	Date:				
Signed and dated: (worker)	Date:				